SALLY L. HUTTON Mayor

> GREG STIENS Director

DEPARTMENT OF PUBLIC WORKS & ENGINEERING 50 NORTH FIFTH STREET - RICHMOND, IN 47374 PHONE (765) 983-7238 - FAX (765) 962-7024

City of Richmond, Indiana Request for Proposal No. 1 09/07/07

Request for Proposals Notification

Project Location: Richmond, Indiana/Salisbury Road re-surfacing and widening from 300 feet north of Industries Road to N.W. "L" Street

Response Due Date and Time: 09/27/07 and no later than 5:00 PM

This request for proposals (RFP) is official notification of needed professional services. This RFP is being issued to solicit a letter of interest (LoI) and other documents from firms qualified to perform engineering work on federal aid projects. A submittal does not guarantee that the firm will be contracted to perform any services but only serves notice that that firm desires to be considered.

Contact for Questions: Greg Stiens

Director of Public Works and Engineering

50 North 5th Street

Richmond, Indiana 47374 Telephone: 1-(765) 983-7394

Submittal requirements:

1. Letter of Interest (required content and instructions to follow)

2. One (1) signed Affirmative Action Certification and associated required documents for all items with Disadvantaged Business Enterprise (DBE) goals (sample form Follows).

Submit to: Greg Stiens

Director of Public Works and Engineering

50 North 5th Street

Richmond, Indiana 47374

Selection Procedures:

Consultants will be selected for work items further described herein, based on the evaluation of the Letter of Interest (LoI) and other required documents The Consultant Selection Rating Form that will be used to evaluate and score the submittals is included for your reference.

To be eligible for consideration, the lead consultant must be prequalified by INDOT.

Requirements for Letters of Interest (LoI)

- A. General instructions for Preparing and Submitting a Letter of Interest (LoI)
 - 1. Provide the information as set out in Item B below, in the same order listed, signed by an officer of the firm. Scanned signed documents of electronically applied signatures are both acceptable. Do not send additional forms, resumes, brochures, or other material unless otherwise noted in the item description.
 - 2. Lol's shall be limited to a total o (12) 8 ½" x 11" pages. Ten (10) pages for <u>Identification and Qualifications</u> and two (2) pages for the combined <u>Key staff and Project Approach</u> unless otherwise noted in Project Description.
 - 3. LoI's must be received not later than 5:00 PM September 27, 2007 as shown in the RFP header. Responses received after this deadline will not be considered. Submittals must include all required attachments to be considered for selection.

B. Letter of Interest Content

- 1. Identification and Qualifications
 - a. Provide the firm name, address of the responsible office from which the work will be performed and the name and e-mail address of the contact person authorized to negotiate for the associated work.

- b. List all proposed sub consultants, their DBE status, and the percentage of work to be performed by the lead consultant and each sub consultant (sample Affirmative Action Certification follows). A listing of Certified DBE's eligible to be considered for selection as prime consultants for this RFP can be found at the Indiana Department of Transportation's (INDOT) website.

 (http://www.in.gov/dot/div/legal/DBE/dbe_list.xls).
- c. Provide personnel resumes and such additional information concerning qualifications as may be relevant to the project.

2. Key staff and Project approach

- a. List the Project Manager and other key staff members, including key sub consultant staff and include the percent of time the project manager will be committed for the contract, if selected. Include project engineers for important disciplines and staff members that will be responsible for the work. Address the experience of the key staff members on similar projects and the staff qualifications relative to the required item qualifications.
- b. Describe the capacity of your staff and their ability to perform the work in a timely manner relative to present workload and the availability of the assigned staff.
- c. Provide a description of your Project Approach relative to the advertised services. For project specific items confirm that the firm has visited the project site. For all items address your firm's technical understanding of the project or services, as related to your firm's qualifications.

Requirements for Affirmative Action Certification

A completed Affirmative Action Certification form is required for <u>all</u> items that identify a DBE goal. The consultant must identify the DBE firms with which it intends to subcontract. Include the contract participation percentage of each DBE and list what the DBE will be subcontracted to perform on the Affirmative Action Certification Form.

Copies of DBE certifications, as issued by INDOT, for each firm listed are to be included as additional pages after the form. If the consultant does not meet the DBE Goal, the consultant must provide documentation on additional pages that it has made Good faith efforts to achieve the DBE goal. Please review the <u>DBE program</u> based on any goals set and complete the <u>DBE Affirmative Action Certification form</u> as applicable. What constitutes good faith efforts is explained in detail within the DBE program information referred to above. If no goal is set then no Affirmative Action Certification form is required. Indiana Department of Transportation's (INDOT) DBE Program Information is available at the Indiana Department of Transportation's website.

A listing of certified DBE's eligible to be considered for selection as prime consultants or sub-consultants for this RFP can be found at the Indiana Department of Transportation's (INDOT) website. (http://www.in.gov/dot/div/legal/DBE/dbe_list.xls)

DBE subcontracting goals apply to <u>all</u> prime submitting consultants, regardless of the prime's status of DBE.

Work item details:

Local Public Agency Name: City of Richmond, Indiana

Project Location: Salisbury Road

INDOT District covering project: Greenfield

INDOT Des#: 0400025

Project Phases Included: Construction Engineering/Inspection

Project Description:

The City of Richmond, Indiana proposes to rehabilitate and widen Salisbury Road from a point starting approximately 300 feet north of Industries Road to N.W. "L" Street. The total length of the project will be 1.5 miles and will consist of widening and over laying the existing Salisbury Road to provide two, 12 foot, bituminous traffic lanes with 6-foot, bituminous shoulders. Portions of the project will have storm sewer. Turn lanes are designed at intersections. Re-alignment on the road is designed at the southern end of the project. The railroad crossing will be constructed with warning devices. The proposed side slopes will be a maximum 4:1.

Estimated Construction Amount: \$1,365,000.00

Funding: 80% Federal Funding

20% Local Funding with match credits

Term of Contract: This project is projected to start in late

2007 and be completed in late 2008.

DBE goal: 0%

Required Prequalification Categories:

General Pre-Qualification – the selected consultant must be pre-qualified by the Indiana Department of Transportation (INDOT).

The assigned Project Manager must be either an Engineer or Certified Technician.

LPA Consultant Selection Rating Sheet

Selection Rating for RFP No.

Des#

| Cor | | | |
|-----|--|--|--|
| | | | |
| | | | |

Services Description:

| Category | Scoring Criteria | | Score | Weight | Weighted Score |
|---------------------------------------|---|----|-------|--------|-------------------|
| | Performance evaluation score averages from historical performance data | | | | |
| Past Performance | Qualiaty score for similar work from perfromance database | | | 12 | |
| | Schedule score from perfromance database | | | 7 | |
| | Responsiveness score from perfromance database | | | 7 | |
| | Predicted ability to manage the project, based on: experience in size, | | | | |
| | complexity, type, subconsultants and documentation skills | | | | |
| | Demonstrated outstanding experience in similar type and complexity | |] | | |
| | Demonstrated high level of experience in similar type and complexity | 1 |] | 10 | |
| | Experience in similar type and complexity shown in resume | 0 | | | |
| | Experience in different type and lower complexity | -1 | | | |
| | Insufficient Experience | -3 | | | |
| | Project understanding and innovation that gives cost and/or time savings. | | | | |
| | High level of understanding and viable innovative ideas proposed | 2 |] | ĺ | |
| Approach to Project | High level of understanding of the project | 0 | | 15 | |
| | Basic understanding of the project | | 1 | | |
| | Lack of project understanding | -3 | | | |
| | Evaluation of team's personnel and equipment to perform the project on time | | | | |
| Capacity of Team to do Work | Availibility of more than adequate capacity that results in added value | 1 | 20 | 20 | |
| | Adequate capacity to meet schedule | 0 | | 20 | |
| | Insufficient capacity to meet the schedule | -1 | | | |
| Team's Demonstrated Qualifications | Technical Expertise: Unique resources & equipment that yield a relevant added value or efficiency to the deliverable | | | | |
| | Demonstrated outstanding expertise and resources identified for req'd services for value added benefit Demonstrated high level of expertise and resources identified for req'd services for value added benefit Expertise and resources at appropriate level Insufficient expertise and/or resources | | | 15 | |
| | | | | | |
| | | | 1 | | |
| | | | 1 | | |
| Location | Location of assigned staff office relative to project | | | | |
| | Within 50 Miles | 1 | 1 | ĺ | |
| | 51-150 miles | | 1 | 5 | |
| | 151-500 miles | | 1 | | |
| | Greater than 500 miles | -2 | 1 | I | l |

| he scores assigned above | represent my best judgement of the consultant's abilities for the rating categories. | |
|--------------------------|--|----|
| Name: | Signed | i: |
| | | |
| | | |

| | | Request for Proposals No |
|--------------------------------------|-------------------------|---|
| | | Item No |
| AFF | IRMATIVE ACTIO | ON CERTIFICATION |
| | o participate as part o | npany to affirmatively seek out and consider DBEs of this proposal. (For listing of DBE certified firms, |
| I understand and agree that all si | ubconsulting in conn | ection with this proposal, whether undertaken prior |
| to or subsequent to the notice | to proceed, shall | be in accordance with the requirements for the |
| Disadvantaged Business Enterpri | se Program, included | d elsewhere in this RFP. I understand and agree that until the Department of Transportation has reviewed |
| | | |
| and approved the affirmative action | | |
| of this RFP. | enined DBEs is in a | ddition to all other equal employment requirements |
| | | A second |
| I acknowledge that this certificati | | |
| I understand and agree that the su | omission of a blank | certification may cause the proposal to be rejected. |
| I hereby certify that contact has t | been made with the c | ertified DBEs listed in this certification, and that. if |
| | SULTANT, the cert | ified DBEs have tentatively agreed to perform the |
| services listed below. | | |
| I understand that neither my cor | npany nor I will be | penalized for amounts achieved over or under the |
| amount shown for voluntary DB | E utilization that exc | eeds the goal. |
| | | this Affirmative Action Certification under |
| | prior approval by IN | DOT's Economic Opportunity Section, Central |
| Office. | | |
| | | |
| | SUBCONSI | JLTANTS |
| | | |
| DBE SUBCONSULTANTS TO BE AF | PLIED TOWARD GO | AL (RACE/GENDER CONSCIOUS |
| Certified DBE Name & Address | Service Planned | Planned percentage to be paid to DBE |
| | | |
| | | |
| | | |
| | | |
| | | |
| DBE SUBCONSULTANTS TO BE US | ED BEYOND GOAL(R | ACE/GENDER CONSCIOUS) |
| | | |
| Certified DBE Name & Address | Service Planned | Planned percentage to be paid to DBE |
| | | |
| | | |
| | | |
| | | |
| Total Percentage Credited toward DBI | E Goal (Race/Gender Co | onscious): |
| Total Percentage of Voluntary DBE W | ork Anticipated over D | BE Goal (Race/Gender Neutral): |
| | | |
| Name of Company: | | |
| rame or company: | | |
| Ву: | | Date: |